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D.C. 20231 on Vetober 10, 2002

Date

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

COLOSI

Serial No.: 10/074,302

Art Unit: 1636

Filing Date: February 11, 2002

Examiner: Unassigned

Title:

HIGH-EFFICIENCY WILD-TYPE-FREE AAV HELPER FUNCTIONS

INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The information listed on the attached PTO-1449 forms may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application.

This Information Disclosure Statement under 37 CFR § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the

Atty Dkt No.0800-0005.05 USSN: 10/074,302

PATENT

information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

Date: 10/10/02

By:

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COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231



FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 1 of 3

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Serial No.: 10/074,302

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Title: HIGH-EFFICIENCY WILD-TYPE-FREE AAV HELPER FUNCTIONS

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
	AA-1	4,797,368	January 10, 1989	Carter et al.			
	AB-1	5,139,941	August 18, 1992	Muzyczka et al.			
	AC-1	5,173,414	Dec. 22, 1992	Lebkowski et al.			· · · · · · · · · · · · · · · · · · ·
	AD-1	5,436,146	July 25, 1995	Shenk et al.			
	AE-1	5,589,377	December 31, 1996	Lebkowski et al.			
	AF-1	5,622,856	April 22, 1997	Natsoulis			
	AG-1	5,658,776	August 19, 1997	Flotte et al.			
<u> </u>	AH-1	5,693,531	December 2, 1997	Chiiorini et al.			
	Al-1	5,753,500	May 19, 1998	Shenk et al.			
	AJ-1	6,001,650	December 14, 1999	Colosi			
	AK-1	6,027,931	February 22, 2000	Natsoulis et al.			

FOREIGN PATENT DOCUMENTS

Ex Ini	am. it.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
		AL-1	WO 95/06743	March 9, 1995	PCT				

Examiner:

Date Considered:



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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 3

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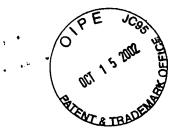
Title: HIGH-EFFICIENCY WILD-TYPE-FREE AAV HELPER FUNCTIONS

AM-1	WO 95/13365	May 18, 1995	PCT		
AN-1	WO 95/13392	May 18, 1995	PCT		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
	AO-1	Jayaram, "Two-micrometer Circle Site-specific Recombination: The Minimal Substrate and the Possible Role of Flanking Sequences," <i>Proc. Natl. Acad. Sci. USA</i> <u>82</u> :5875-5879 (1985)
	AP-1	Karreman et al., "On the use of Double FLP Recognition Targets (FRTs) in the LTR of Retroviruses for the Construction of High Producer Cell Lines," <i>Nucleic Acids Research</i> 24 (9):1616-1624 (1996)
	AQ-1	Li et al., "Role for Highly Regulated rep Gene Expression in Adeno-Associated Virus Vector Production," <i>Journal of Virology</i> 71(7):5236-5243 (1997)
	AR-1	McCarty et al., "Sequences Required for Coordinate Induction of Adeno-Associated Virus p19 and p40 Promoters by Rep Protein," <i>J. Virol.</i> 65(6):2936-2945 (1991)
	AS-1	O'Gorman et al., "Recombinase-mediated Gene Activation and Site-specific Integration in Mammalian Cells," <i>Science</i> <u>251</u> :1351-1355 (1991)
	AT-1	Ogasawara et al., "The Use of Heterologous Promoters for Adeno-Associated Virus (AAV) Protein Expression in AAV Vector Production," <i>Microbiol. Immunol.</i> 42(3):177-185 (1998)

Examiner:		Date Considered:	



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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 3 of 3

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Exam. Init.	Ref. Desig.	Description
	AU-1	Samulski et al., "Helper-free Stocks of Recombinant Adeno-associated Viruses: Normal Integration Does Not Require Viral Gene Expression," <i>J. Virol.</i> 63(9):3822-3828 (1989)
	AV-1	Schlake and Bode, "Use of Mutated FLP Recognition Target (FRT) Site for the Exchange of Expression Cassettes at Defined Chromosomal Loci," <i>Biochemistry</i> 33(43):12746-12751 (1994)
	AW-1	Vincent et al., "Analysis of Recombinant Adeno-Associated Virus Packaging and Requirements for rep and cap Gene Products," <i>Journal of Virology</i> 71(3):1897-1905 (1997)

Examiner:	Date Considered: